

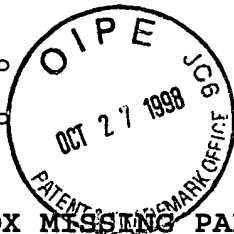
Sector #

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

1300 I STREET, N. W.  
WASHINGTON, DC 20005-3315

202 • 408 • 4000  
FACSIMILE 202 • 408 • 4400

ATLANTA  
404 • 653 • 6400  
PALO ALTO  
650 • 849 • 6600



WRITER'S DIRECT DIAL NUMBER:

TOKYO  
011 • 813 • 3431 • 6943  
BRUSSELS  
011 • 322 • 646 • 0353

ATTORNEY DOCKET NO. 05905.0056

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

U.S. Patent Application for  
DATA PROCESSING APPARATUS AND PROCESSING METHOD AND MEDIUM  
THEREFOR

Inventors: Maki KATO, Hiroyuki CHI, Takaya SEGAWA, **Yoshihiro OTANI**, Hirofumi MURASAKI, and Koji AIBA

Serial No.: 09/135,024 Filed: August 17, 1998

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts dated September 04, 1998, we enclose the Declaration and a copy of the Notice To File Missing Parts.

Enclosed is a check in the amount of \$1706.00 to cover the surcharge of \$130.00, the filing fee of \$790.00, \$164.00 for independent claims in excess of three, \$270.00 for multiple dependent claims, and \$352.00 for total claims in excess of twenty. Authorization is hereby given to charge any additional fees to our deposit account no. 06-0916.

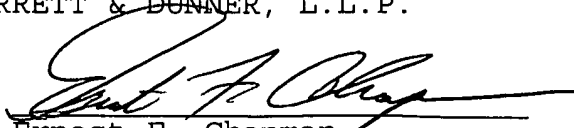
It is requested that the Examiner disregard the spelling of the fourth inventor's name on the filing transmittal letter filed August 17, 1998. The correct name of the fourth inventor is Yoshihiro OTANI, as evidenced by his signature on the declaration.

Please associate this declaration with the application as filed on August 17, 1998 and assigned serial number 09/135,024.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

  
Ernest F. Chapman  
Reg. No. 25,961

Dated: October 27, 1998

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

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ATTORNEY DOCKET NO. 05905.0056

Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, D.C. 20231

U.S. Patent Application for  
DATA PROCESSING APPARATUS AND PROCESSING METHOD AND MEDIUM  
THEREFOR

Inventors: Maki KATO, Hiroyuki CHI, Takaya SEGAWA, Yoshihiro  
OTANI, Hirofumi MURASAKI, and Koji AIBA  
Serial No.: 09/135,024 Group Art Unit: 3711  
Filed: August 17, 1998 Examiner: Unassigned

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

We are forwarding herewith a copy of a Filing Receipt which has been received from the U.S. Patent and Trademark Office. There are errors in the Filing Receipt which are indicated in highlight on the copy. **The errors are in the spelling of the fourth inventor's first and last names and the total number of claims.** The correct information is shown in ink adjacent the erroneous information.

We request that a corrected Filing Receipt be supplied as soon as possible.

Enclosed is a check in the amount of \$25.00 to cover the charge for filing this request for corrected filing receipt.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:

Ernest F. Chapman  
Reg. No. 25,961

Dated: October 27, 1998

**RECEIVED**

**OCT 30 1998**

**Group 3700**

10/28/1998 RTSEGAYE 00000041 091350  
01 FC:576

FILING RECEIPT



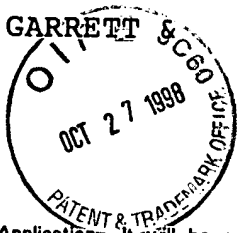
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FPO-USD

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/135,024	08/17/98	3711	\$0.00	05905.0056	27	16	5

36

FINNEGAN HENDERSON FARABOW GARRETT & DUNNER  
1300 I STREET NW  
WASHINGTON DC 20005-3315



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

MAKI KATO, TOKYO, JAPAN; HIROYUKI CHI, TOKYO, JAPAN;  
TAKAYA SEGAWA, TOKYO, JAPAN; HIROSHI OHTANI, TOKYO, JAPAN;  
HIROFUMI MURASAKI, TOKYO, JAPAN; KOJI AIBA, ASHIKAGA-SHI,  
JAPAN.

YOSHIHIRO OTANI

FOREIGN APPLICATIONS-

JAPAN  
JAPAN

9-240029  
10-032710

09/04/97  
02/16/98

TITLE

DATA PROCESSING APPARATUS AND PROCESSING METHOD AND MEDIUM THEREFOR

PRELIMINARY CLASS: 463

RECEIVED

SEP 11 1998

SEP 10 1998

FINNEGAN, HENDERSON, FARABOW,  
GARRETT AND DUNNER, LLP

DC 7D  
MD  
9-10-98



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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0242,0900

08/17/98

KATU

0

059901.0006

0242,0900  
MONTGOMERY HENDERSON FARAWAY GARRETT  
GUNNER

NOT ASSIGNED

1700 L STREET NW  
WASHINGTON DC 20005-3315

0711

DATE MAILED:

09/04/98

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

**1. All required items on this form are filed within the period set above; the total amount owed by applicant as a**  
☐ small entity (statement filed) ☒ non-small entity is \$ 710

**2. The statutory basic filing fee is:**

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ Additional claim fees of \$ 130, including any multiple dependent claim fees, are required.

for independent claims over 3.

for dependent claims over 20.

for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by above Application Number and Filing Date is required.

10/30/98 The oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42,

01 FC:101 properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above

02 FC:102 Application Number and Filing Date, is required.

03 FC:103 355.00 OP

04 FC:104 signature of the following inventor(s) is missing from the oath or declaration:

05 FC:105 130.00 OP

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**